Tunneling probability

Consider the following potential energy function.

$$V(x) = \begin{cases} 0, & x < 0\\ V_0, & 0 \le x \le L\\ 0, & x > L \end{cases}$$

Suppose a particle with mass m and energy $E < V_0$ is traveling from left to right along the x axis. The particle has a Schrödinger equation for each region of V(x).

$$-\frac{\hbar^{2}}{2m}\frac{d^{2}}{dx^{2}}\psi_{1} = E\psi_{1}, \qquad x < 0$$

$$-\frac{\hbar^{2}}{2m}\frac{d^{2}}{dx^{2}}\psi_{2} + V_{0}\psi_{2} = E\psi_{2}, \qquad 0 \le x \le L$$

$$-\frac{\hbar^{2}}{2m}\frac{d^{2}}{dx^{2}}\psi_{3} = E\psi_{3}, \qquad x > L$$

Let ψ_1 and ψ_3 have the most general free-particle solutions.

$$\psi_1(x) = A \exp\left(i\sqrt{\frac{2mE}{\hbar^2}}x\right) + B \exp\left(-i\sqrt{\frac{2mE}{\hbar^2}}x\right)$$
$$\psi_3(x) = F \exp\left(i\sqrt{\frac{2mE}{\hbar^2}}x\right) + G \exp\left(-i\sqrt{\frac{2mE}{\hbar^2}}x\right)$$

Use the WKB approximation to solve for ψ_2 .

$$\psi_2(x) \approx C \exp\left(i \int \sqrt{\frac{2m(E-V_0)}{\hbar^2}} \, dx\right) + D \exp\left(-i \int \sqrt{\frac{2m(E-V_0)}{\hbar^2}} \, dx\right)$$

Cancel i by swapping E and V_0 .

$$\psi_2(x) \approx C \exp\left(\int \sqrt{\frac{2m(V_0 - E)}{\hbar^2}} \, dx\right) + D \exp\left(-\int \sqrt{\frac{2m(V_0 - E)}{\hbar^2}} \, dx\right)$$

Substitute x for $\int dx$.

$$\psi_2(x) \approx C \exp\left(\sqrt{\frac{2m(V_0 - E)}{\hbar^2}}x\right) + D \exp\left(-\sqrt{\frac{2m(V_0 - E)}{\hbar^2}}x\right)$$

To simplify the formulas let

$$k = \sqrt{\frac{2mE}{\hbar^2}}, \quad \beta = \sqrt{\frac{2m(V_0 - E)}{\hbar^2}}$$

and write

$$\psi_1(x) = A \exp(ikx) + B \exp(-ikx)$$

$$\psi_2(x) = C \exp(\beta x) + D \exp(-\beta x)$$

$$\psi_3(x) = F \exp(ikx) + G \exp(-ikx)$$

Exponentials of -i represent particles moving from right to left. The *B* exponential represents a particle reflected from the boundary at x = 0. There is no particle moving right to left at x > L hence G = 0.

Let us now solve for the coefficients using boundary conditions. Four boundary conditions are needed to ensure continuity at x = 0 and x = L.

$$\psi_1(0) = \psi_2(0) \psi_1'(0) = \psi_2'(0) \psi_2(L) = \psi_3(L) \psi_2'(L) = \psi_3'(L)$$

From the boundary condition $\psi_2(L) = \psi_3(L)$ we have

$$C\exp(\beta L) + D\exp(-\beta L) = F\exp(ikL)$$
(1)

From the boundary condition $\psi_2'(L) = \psi_3'(L)$ we have

$$\beta C \exp(\beta L) - \beta D \exp(-\beta L) = ikF \exp(ikL) \tag{2}$$

Add β times (1) to (2) to obtain

$$2\beta C \exp(\beta L) = (\beta + ik)F \exp(ikL)$$

Hence

$$C = \frac{(\beta + ik)F\exp(ikL - \beta L)}{2\beta}$$
(3)

Add minus β times (1) to (2) to obtain

 $-2\beta D\exp(-\beta L) = (-\beta + ik)F\exp(ikL)$

Hence

$$D = \frac{(\beta - ik)F\exp(ikL + \beta L)}{2\beta} \tag{4}$$

From the boundary condition $\psi_1(0) = \psi_2(0)$ we have

$$A + B = C + D \tag{5}$$

From the boundary condition $\psi_1'(0) = \psi_2'(0)$ we have

$$ik(A - B) = \beta(C - D) \tag{6}$$

Add ik times (5) to (6) to obtain

$$2ikA = \beta(C - D) + ik(C + D)$$

Hence

$$A = \frac{\beta(C-D)}{2ik} + \frac{C+D}{2}$$

Substitute (3) and (4) for C and D to obtain

$$A = F \exp(ikL) \left(\cosh(\beta L) + i\gamma \sinh(\beta L) \right)$$
(7)

where

$$\gamma = \frac{1}{2} \left(\frac{\beta}{k} - \frac{k}{\beta} \right)$$

The tunneling probability T is the magnitude of the transmitted wave divided by the magnitude of the inbound wave.

$$T = \frac{|F|^2}{|A|^2} = \left|\frac{1}{\exp(ikL)\left(\cosh(\beta L) + i\gamma\sinh(\beta L)\right)}\right|^2$$

Hence

$$T = \frac{1}{\cosh^2(\beta L) + \gamma^2 \sinh^2(\beta L)}$$
(8)

The result can also be written as

$$T = \left(1 + \frac{V_0^2 \sinh^2(\beta L)}{4E(V_0 - E)}\right)^{-1}$$
(9)

For small values of T the following approximation can be used.

$$T \approx \left(\frac{V_0^2 \frac{1}{4} \exp(2\beta L)}{4E(V_0 - E)}\right)^{-1} = \frac{16E(V_0 - E)}{V_0^2} \exp(-2\beta L)$$

Example. For an electron with

$$E = 1 \text{ eV}$$
$$V_0 = 1.1 \text{ eV}$$
$$L = 10^{-9} \text{ meter}$$

the tunneling probability is

$$T = 0.053$$

The approximate value is

$$T=0.052$$

(Ref. "Quantum Tunneling of Particles through Potential Barriers" at phys.libretexts.org)